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1 - 7



(Decision) Problems	
Decidable	











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5 - 5

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1.
$$|t| \leq p(|s|)$$

2. $\mathcal{A}(s,t) = \mathsf{true}$

6 - 3

Examples Revisited

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 - $P \neq NP$?

Reduction

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VERTEXCOVER \leq_m INDEPENDENTSET: If we know VERTEXCOVER is hard, INDEPENDENTSET is hard, too! $\mathrm{VertexCover} \leq \mathrm{IndependentSet}$

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An instance (G, k) of VERTEXCOVER can be converted into an instance (G, |V(G)| - k) of INDEPENDENTSET. 10 - 4

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Certificate: an assignment for input variables Certifier: verify that the output value is true

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Idea: Any turing machine can be imitated by a circuit!

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Fill in input values for s and leave t.

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3SAT: Is a given 3-CNF formula satisfiable?

e.g. $\phi = (x_1 \lor x_2 \lor x_3) \land (\neg x_1 \lor \neg x_2 \lor x_3) \land (x_2 \lor \neg x_3 \lor x_4)$

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- x is a binary variable.
- A literal l is either x or $\neg x$.
- A CNF formula $\phi = C_1 \wedge C_2 \wedge \cdots \wedge C_k$ where $C_i = l_{i1} \vee l_{i2} \vee l_{i3}$ for literals l_{ij} .

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 $3SAT \leq CSAT$ (special case)

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We know that CSAT is NP-hard.

 $\Rightarrow \mathrm{CSAT} \leq 3\mathrm{SAT}$ is sufficient.

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For each gate, make a variable for its output and simulate the gate.

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1) NOT gate

Input value: x_i / Output value: $x_j = \neg x_i$

$$\Leftrightarrow (x_i \lor x_j) \land (\neg x_i \lor \neg x_j)$$
3 - 8

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2) AND gate

Input value: $x_i, x_j / \text{Output value: } x_k = x_i \land x_j$

$$\Leftrightarrow (\neg x_k \lor x_i) \land (\neg x_k \lor x_j) \land (x_k \lor \neg x_i \lor \neg x_j)$$

3 - 9

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3) OR gate

Input value: $x_i, x_j / \text{Output value: } x_k = x_i \lor x_j$

$$\Leftrightarrow (x_k \vee \neg x_i) \land (x_k \vee \neg x_j) \land (\neg x_k \vee x_i \vee x_j)$$

3 - 10

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4) To make some input variable x_i true/false, add $x_i / \neg x_i$

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5) Replace clauses with 1 or 2 varibles

 $x_i \lor x_j \Leftrightarrow (x_i \lor x_j \lor z) \land (x_i \lor x_j \lor \neg z)$

 $x_i \Leftrightarrow (x_i \lor z \lor w) \land (x_i \lor \neg z \lor w) \land (x_i \lor z \lor \neg w) \land (x_i \lor \neg z \lor \neg w)$ 3 - 12

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Claim: C is satisfiable iff ϕ_C is satisfiable.

VERTEXCOVER is NP-complete

 $S \subseteq V(G)$ is a *vertex cover* for a graph G if every edge of G is incident to at least one vertex of S.

VERTEXCOVER: given a graph G and integer k, decide if G has a vertex cover of size k.

Theorem. VERTEXCOVER is NP-complete.

- 1. VertexCover \in NP.
- 2. $3SAT \leq VertexCover$

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Let ϕ be a 3-CNF with m variables and k clauses.

1) Variable gadget

For each variable x,



4 - 2

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2) Clause gadget For each clause $C = l_1 \lor l_2 \lor l_3$, l_2 l_2 l_3 l_3

$\operatorname{Vertex} \operatorname{Cover}$ is NP-complete

 $S \subseteq V(G)$ is a *vertex cover* for a graph G if every edge of G is incident to at least one vertex of S.

VERTEXCOVER: given a graph G and integer k, decide if G has a vertex cover of size k.

Theorem. VERTEXCOVER is NP-complete.

1. VertexCover \in NP.

2. $3SAT \leq VERTEXCOVER$

Let ϕ be a 3-CNF with m variables and k clauses.

Claim: ϕ is satisfiable iff G_{ϕ} has a vertex cover of size m+2k.

$\operatorname{SUBSETSUM}$ is NP-complete

SUBSETSUM: given a (multi-)set X of integers and an integer s, is there a subset of X whose sum equals to s?

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e.g. X = \{1, 1, 5, 10, 23, 30\}, s = 39
```

SUBSETSUM is NP-complete

SUBSETSUM: given a (multi-)set X of integers and an integer s, is there a subset of X whose sum equals to s?

e.g. $X = \{1, 1, 5, 10, 23, 30\}, s = 39$ YES! $\{1, 5, 10, 23\}$

SUBSETSUM: given a (multi-)set X of integers and an integer s, is there a subset of X whose sum equals to s?

Theorem. $\ensuremath{\mathrm{SUBSETSUM}}$ is NP-complete.

- 1. SubsetSum $\in NP$
- 2. $3SAT \leq SUBSETSUM$

SUBSETSUM: given a (multi-)set X of integers and an integer s, is there a subset of X whose sum equals to s?

Theorem. $\ensuremath{\mathrm{SUBSETSUM}}$ is NP-complete.

- 1. SubsetSum $\in NP$
- 2. $3SAT \leq SUBSETSUM$

Certificate: a set of integers \boldsymbol{Y}

Certifer: 1) Y is a subset of X and 2) sum of Y equals to s

SUBSETSUM: given a (multi-)set X of integers and an integer s, is there a subset of X whose sum equals to s?

Theorem. $\ensuremath{\mathrm{SUBSETSUM}}$ is NP-complete.

1. SubsetSum \in NP.

2. $3SAT \leq SUBSETSUM$

Let ϕ be a 3-CNF with m variables and k clauses.

Construct integers t_i, f_i of m + k digits for each variable x_i

Construct integers a_j, b_j of m + k digits for each clause C_j

m digits correspond to T/F assignment for each variable.

 t_i, f_i have 1 for *i*-th digit.

5 - 6

SUBSETSUM: given a (multi-)set X of integers and an integer s, is there a subset of X whose sum equals to s?

Theorem. $\ensuremath{\mathrm{SUBSETSUM}}$ is NP-complete.

1. SubsetSum \in NP.

2. $3SAT \leq SUBSETSUM$

Let ϕ be a 3-CNF with m variables and k clauses.

Construct integers t_i, f_i of m + k digits for each variable x_i

Construct integers a_j, b_j of m + k digits for each clause C_j

k digits correspond to satisfiability for each clause.

$$t_i/f_i$$
 has 1 for $(m+j)$ -th digit if $x_i/\neg x_i$ appear in C_j .
 $a_j = b_j$ have 1 for $(m+j)$ -th digit.
5 - 7

$\operatorname{SUBSETSUM}$ is NP-complete

SUBSETSUM: given a (multi-)set X of integers and an integer s, is there a subset of X whose sum equals to s?

Theorem. $\ensuremath{\mathrm{SUBSETSUM}}$ is NP-complete.

1. SubsetSum \in NP.

2. $3SAT \leq SUBSETSUM$

Let ϕ be a 3-CNF with m variables and k clauses.

Claim: ϕ is satisfiable iff there is a subset of $\{t_1, f_1, \dots, t_m, f_m, a_1, b_1, \dots, a_k, b_k\}$ of sum:



DNF-SAT: Is a given DNF formula satisfiable?

e.g. $\phi = (x_1 \wedge x_2 \wedge x_3) \vee (\neg x_1 \wedge \neg x_2) \vee \neg x_3$

DNF-SAT: Is a given DNF formula satisfiable?

- e.g. $\phi = (x_1 \wedge x_2 \wedge x_3) \vee (\neg x_1 \wedge \neg x_2) \vee \neg x_3$
 - x is a binary variable.
 - A literal l is either x or $\neg x$.
 - A DNF formula $\phi = C_1 \vee C_2 \vee \cdots \vee C_k$ where $C_i = l_{i1} \wedge l_{i2} \cdots \wedge l_{ik_i}$ for literals l_{ij} .

DNF-SAT: Is a given DNF formula satisfiable?

- e.g. $\phi = (x_1 \wedge x_2 \wedge x_3) \vee (\neg x_1 \wedge \neg x_2) \vee \neg x_3$
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Let ϕ be a 3-CNF with m variables and k clauses.

We can convert CNF into DNF as follows:

 $\begin{array}{l} (a \lor b \lor c) \land (d \lor e \lor f) \\ = (a \land d) \lor (a \land e) \lor (a \land f) \lor (b \land d) \lor (b \land e) \lor (b \land f) \lor \\ (c \land d) \lor (c \land e) \lor (c \land f) \end{array}$

DNF-SAT: Is a given DNF formula satisfiable?

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NOT a polynomial-time reduction!